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Docket No.: E3331.0516  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Vladimir Neyman et al.

Application No.: 09/897,594

Art Unit: 2164

Filed: June 29, 2001

Examiner: Not Yet Assigned

For: COMPOUND ORDER HANDLING IN AN  
ANONYMOUS TRADING SYSTEM

**SECOND PRELIMINARY AMENDMENT**

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Amendment  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Dear Sir:

**INTRODUCTORY COMMENTS**

Prior to issuance of an Office Action, please amend the above-identified application as follows:

**Amendments to the Claims** begin on page 2 of this paper.

**Remarks** begin on page 12 of this paper.